



**SUBMISSION OF
VERIFIED SMALL ENTITY STATEMENT**

Date: May 18, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) or Patentee(s): University of Southern California

Application No. or Patent No.: 09/478,977

Filed or Issued: January 6, 2000

For: METHOD AND COMPOSITION FOR ANGIOGENESIS
INHIBITION

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§ 1.9(f) AND 1.27(c)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: University of Southern California
Address: University Park
Los Angeles, CA 90089

Type of Organization

- (x) University or other institution of higher education
- () Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3) if located in the United States of America.
- () Nonprofit scientific or educational under statute of state of The United States of America.

Name of State: _____

Citation of Statute: _____

- () Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3) if located in the United States of America.

☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America.

Name of State: _____

Citation of Statute: _____

I hereby declare that the above identified nonprofit organization qualifies as a nonprofit organization as defined in 13 C.F.R. § 121.3-18, and reproduced in 37 C.F.R. § 1.9(e), for purposes of paying reduced fees under § 41(a) and (b) of Title 35, United States Code.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above with regard to the invention, entitled METHOD AND COMPOSITION FOR ANGIOGENESIS INHIBITION by Peter Brooks and Jingsong Xu

described in

- ☐ the specification filed herewith
☒ application Serial No. 09/478,977, filed January 6, 2000.
☐ Patent No. _____, issued _____

If the rights held by the above identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a independent inventor under 37 C.F.R. § 1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

Full Name: _____

Address: _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name: _____

Address: _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name: _____

Address: _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying.

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27)

the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of person signing: Dennis Dougherty

Title of person
other than owner:

Senior Vice President, Administration

Address of person signing:

University of Southern California
University Park
Los Angeles, CA 90089

Signature:

Dennis J. Dougherty

Date:

5/22, 2000